

04/20/01  
J1033 U.S. PTO

04-23-01

Atty. Docket No. 100647-38450

J1033 U.S. PTO  
09/839932  
04/20/01

THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s): David Moy, Asif Chishti

Entitled: **Process for Producing Single Wall Nanotubes Using Unsupported Metal Catalysts and Single Wall Nanotubes Produced According to this Method**

- This application is a Divisional of prior application No.08/910,495, Examiner Griffin,  
Group Art Unit 1754

Enclosed are:

- 18 sheets of specification, 2 sheet(s) of drawing(s); Abstract.
- A Recorded Assignment of the invention to Hyperion Catalysts International, Inc. and a Notice of Recordation of Assignment Document.
- A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 from parent application.
- A signed Inventors Declaration And Power of Attorney from parent application.
- A Notice Of Change of Address.
- Preliminary Amendment.
- 37 CFR 1.604(a) Request For An Interference With An Application
- Preliminary Remarks Under 37 CFR 1.604(b)

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)		SMALL	ENTITY		OTHER THAN A SMALL ENTITY
FOR:	NO. FILED	NO. EXTRA		RATE	FEE		RATE
BASIC FEE	1	* 0		x	\$355	<u>OR</u>	\$710
TOTAL CLAIMS	16	* 0		x 9	\$	<u>OR</u>	x 18 \$ 0
INDEP CLAIMS	2	* 0		x 39	\$	<u>OR</u>	x 78 \$ 0
MULTIPLE DEPENDENT CLAIM PRESENTED				x 130	\$	<u>OR</u>	x 260 \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2				TOTAL	\$355	<u>OR</u>	TOTAL \$

- Please charge Deposit Account No. **50-0540** in the amount of \$ \_\_\_\_\_ to cover the filing fee. The Commissioner is also hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.

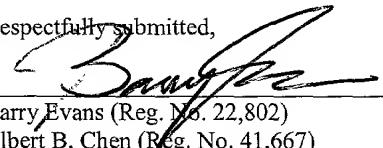
- Any additional filing fees required under 37 C.F.R. § 1.16.  
 Any patent application processing fees under 37 C.F.R. § 1.17.

- A check in the amount of \$ 355.00 to cover the filing fee is enclosed.

- The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. **50-0540**. A duplicate copy of this sheet is enclosed.

- Any patent application processing fees under 37 C.F.R. § 1.17.  
 The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).  
 Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Respectfully submitted,

  
Barry Evans (Reg. No. 22,802)  
Albert B. Chen (Reg. No. 41,667)  
Kramer Levin Naftalis & Frankel LLP  
919 THIRD AVENUE  
NEW YORK, N.Y. 10022  
(212) 715-9100

Date: April 20, 2001

EXPRESS MAIL CERT. NO. **EL549007073US**  
DATE OF DEPOSIT: April 20, 2001

PATENT  
(100647-3845)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Moy et al.  
Serial No. : To be assigned  
Filed : April 20, 2001  
For : Process for Producing Single Wall Nanotubes Using Unsupported Metal Catalysts and Single Wall Nanotubes Produced According to this Method  
Group Art Unit : To be assigned  
Examiner : To be assigned

919 Third Avenue  
New York, New York 10022

**NOTICE OF CHANGE OF ADDRESS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

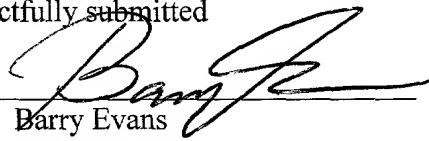
Sir:

Please be advised that effective immediately, counsel of record has moved to new offices. Please forward all correspondence in the above identified patent application to:

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Respectfully submitted

By:

  
Barry Evans  
Reg. No. 22,802  
Albert B. Chen  
Reg. No. 41,667

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**PRELIMINARY REMARKS  
UNDER 37 C.F.R. 1.604(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

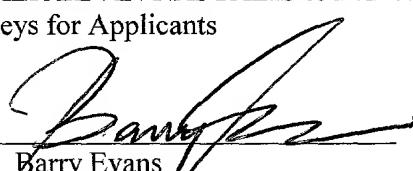
Sir:

Pursuant to 37 C.F.R. § 1.604(b), notice is hereby given that each of claims 24-39 of the present application is believed to define the same patentable invention of at least one claim in pending application Serial No. 60/106,917, 60/114,588, 60/117,287, and 60/161,728.

Respectfully submitted

KRAMER LEVIN NAFTALIS & FRANKEL LLP  
Attorneys for Applicants

By: \_\_\_\_\_



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